

# FREQUENTLY ASKED QUESTIONS

Last update: December 16, 2015

These questions regard submitting a pre-proposal to the ERA-NET Smart Urban Futures. A FAQ regarding the full-proposal stage will follow in a later phase.

www.jpi-urbaneurope.eu

#### **ELEMENTS OF THE PROPOSAL**

#### Is it necessary to include a Form C to the pre-proposal?

No. Submitting a Form C is only necessary in the full-proposal stage. More information regarding requirements of the Form C will be published on the JPI Urban Europe website in due time

## Are Letters of intent a requirement to the pre-proposal?

No, including a Letter of Intent is only necessary in the full-proposal stage. If in your consortium there are stakeholders or implementation partners not eligible for funding, we recommend they express their interest in a Letter of Intent already in the pre-proposal, but this is not mandatory. If in your consortium there are stakeholders or implementation partners eligible for funding, we recommend to include them as eligible partners in the consortium (see page 13 in the call text). More information regarding the requirements of the Letter of Intent will be published on the JPI Urban Europe website in due time.

### THE CONSORTIUM

#### What are the minimum requirements to a consortium?

A consortium must consist out of at least three different and eligible partners from at least three different countries. Please refer to Annex A of the Call for Proposals for specific natio-

nal eligibility criteria. The call secretariat will verify the transnational eligibility criteria, while the participating funding agencies will verify the suitability for national funding according to their respective national/regional eligibility criteria. If either the Main Applicant or the proposal does not meet the eligibility requirements, the proposal will not be admitted to the evaluation procedure.

There is no upper limit for the total number of partners who may be involved in a project consortium. No one partner may represent more than 70% of the activity in the project related to the total budget requested for the entire project (not total costs for project). Consortia have to include stakeholders or implementation partners.

Both transnational and funding agencies' eligibility criteria must be positively met.

Furthermore, we appreciate and encourage collaboration between multiple partners from across Europe, with broad geographical spread where this is of relevance to the research project and its implementation.

#### Are applicants allowed to participate in more than one proposal?

Yes. Each natural person may only participate in a maximum of two proposals, and only once as Main Applicant (see page 13 in the call text).

# Can a partner from a country/region not participating as funder in this call participate in a consortium?

Yes, a partner from a country/region not participating in this call can join a consortium as a co-operation partner. In this case, the activities of the co-operation partner must be financed by own sources, since these cannot be funded by the JPI/ERA-NET. See also page 13 of the Call for Proposals.

Should a city from each country participating in a consortium be involved in the project? No, this is not a requirement.

# Are there any formal restrictions to the size of the city that is participating in the proposal? Will the participation of a city outside the bandwidth of small and medium-sized cities make my proposal ineligible?

This call for proposal is focused on the participation of small and medium sized cities, complementary to the large scale projects already envisage in the Horizon 2020 Lighthouse projects. There are no formal restrictions, but the project should fit the call for proposals as this is one of the evaluation criteria.

#### **CALL TOPICS**

#### Is it possible to relate a proposal to multiple call topics?

Yes. It is mandatory to relate the proposal to at least one of the three call topics, but possible to relate the proposal to multiple call topics.

### **FUNDING**

# How should Table 1 be interpreted?

In this call, there are different types of research distinguished ("strategic research", "applied research", and "innovation and implementation"). Not all countries/regions are able to fund the same type of organisations/research. In *Table 1: National Funding Agencies: Amounts and focuses* on page 6 the type of organisations/research a country/region can fund:

XX = The funding agency is primarily focused on funding research in this stage.

X = The funding agency has the mandate to fund projects in this stage.

An empty box means that the country/region is not able to fund this type of organisation/research.

Each potential applicant is advised to contact his/her responsible funding agency in order to clarify the possibilities of national/regional funding available. For the definitions of "strategic research", "applied research" and "innovation and implementation" used in this call text, please see Annex B of the Call for Proposals.

When a proposal is granted funding, will the funding for each partner go through each national funding organisation, or is the main applicant expected to distribute the funding received?

Each national/regional funding organisation will fund successful applicants from their country/region. Thus, each applicant will receive the funding separately and will have an own funding contract.

# **FURTHER INFORMATION**

#### Where can I find more information?

You can find more information at <a href="www.jpi-urbaneurope.eu">www.jpi-urbaneurope.eu</a>, in the <a href="ERA-NET Smart UrbanEntures LinkedIn group">ERA-NET Smart UrbanEntures LinkedIn group</a>, via the Call Secretariat for general questions or via your <a href="mailto:national contact point">national contact point</a>. See Annex A of the Call Text for the contact details of your national contact point.

#### SECRETARIAT CONTACTS

If you have questions on the general call process and proposal submission, please contact the JPI Urban Europe Call Secretariat:

#### Johannes Bockstefl

FFG – Austrian Research Promotion Agency Telephone: +43 5 77 55-5042 E-mail: johannes.bockstefl@ffg.at

#### Joanna Komperda

NCN – National Science Centre Poland Telephone: +48 12 34 19 138 E-mail: joanna.komperda@ncn.gov.pl